

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FI | LING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|--------------------------|---------|------------|----------------------|-------------------------|------------------|--|
| 09/989,169 | 1 | 1/21/2001 | James M. Green | 8932-583 | 9556 | |
| 20582 | 7590 | 01/02/2004 | | EXAMINER | | |
| PENNIE & | | DS LLP | | ROBERT, EDUARDO C | | |
| 1667 K STR SUITE 1000 | | | | ART UNIT PAPER NUMBER | | |
| WASHINGT | TON, DC | 20006 | | 3732 | | |
| | | | | DATE MAILED: 01/02/2004 | , | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | · · · · · · · · · · · · · · · · · · · | 4 |
|--|--|---|--------------|
| | Application No. | Applicant(s) | |
| | 09/989,169 | GREEN ET AL. | |
| Office Action Summary | Examiner | Art Unit | |
| | Eduardo C. Robert | 3732 | |
| The MAILING DATE of this communicate Period for Reply | ion appears on the cover sheet with | h the correspondence address | |
| A SHORTENED STATUTORY PERIOD FOR THE MAILING DATE OF THIS COMMUNICATORY - Extensions of time may be available under the provisions of 37 after SIX (6) MONTHS from the mailing date of this communication. If the period for reply specified above is less than thirty (30) day of the provision of th | FION. CFR 1.136(a). In no event, however, may a rejation. rs, a reply within the statutory minimum of thirty y period will apply and will expire SIX (6) MONT by statute, cause the application to become ABA | oly be timely filed (30) days will be considered timely. HS from the mailing date of this communication NDONED (35 U.S.C. § 133). | ion. |
| 1) Responsive to communication(s) filed on | n <u>23 October 2003</u> . | • | |
| 2a) This action is FINAL . 2b) | This action is non-final. | | |
| 3) Since this application is in condition for a closed in accordance with the practice u | | | is |
| Disposition of Claims | | | |
| 4) Claim(s) 1-14 is/are pending in the applied 4a) Of the above claim(s) 12-14 is/are w 5) Claim(s) is/are allowed. 6) Claim(s) 1 and 2 is/are rejected. 7) Claim(s) 3-11 is/are objected to. 8) Claim(s) are subject to restriction. | ithdrawn from consideration. | | |
| Application Papers | | | |
| 9)☐ The specification is objected to by the Example 10)☑ The drawing(s) filed on 19 February 200 Applicant may not request that any objection Replacement drawing sheet(s) including the 11)☐ The oath or declaration is objected to by | 2 is/are: a)⊠ accepted or b)□ one to the drawing(s) be held in abeyand correction is required if the drawing(s | e. See 37 CFR 1.85(a). s) is objected to. See 37 CFR 1.121 | |
| Priority under 35 U.S.C. §§ 119 and 120 | | | |
| 12) Acknowledgment is made of a claim for a) All b) Some * c) None of: 1. Certified copies of the priority doc 2. Certified copies of the priority doc 3. Copies of the certified copies of the application from the International * See the attached detailed Office action for 13) Acknowledgment is made of a claim for d since a specific reference was included in 37 CFR 1.78. a) The translation of the foreign languated acknowledgment is made of a claim for d reference was included in the first sentence. | suments have been received. Euments have been received in Apple priority documents have been in Bureau (PCT Rule 17.2(a)). For a list of the certified copies not receive priority under 35 U.S.C. of the first sentence of the specifical age provisional application has be omestic priority under 35 U.S.C. of the specifical age provisional application has be omestic priority under 35 U.S.C. of the specifical age provisional application has be omestic priority under 35 U.S.C. of the specifical age provisional application has be of the specifical application the specifical application has be of the specifical application the specifical application has be of the specifical application the specifical applic | oplication No received in this National Stage eceived. S 119(e) (to a provisional application or in an Application Data Step received. S 120 and/or 121 since a speci | heet. fic |
| Attachment(s) | | | |
| Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-53) Information Disclosure Statement(s) (PTO-1449) | 948) 5) Notice of Inf | Immary (PTO-413) Paper No(s) formal Patent Application (PTO-152) | |

DETAILED ACTION

Election/Restrictions

Applicant's election with traverse of Invention I, i.e. claims 1-11, and Species II, i.e.

Figure 13, and Species B, i.e. Figure 22), in Paper No. 6 is acknowledged. The traversal is on the ground(s) that all the claims in the application can be search and examine without serious burden. This is not found persuasive because contrary to applicant's opinion searching different inventions and species will be burdensome. Moreover, it is noted that "a serious burden on the examiner may be *prima facie* shown if the examiner shows by appropriate explanation of separate classification" (see MPEP 803). In this case the examiner has shown that in the restriction requirement mailed on September 23, 2003, i.e. paper no. 5. With regard to the election requirement, it is noted that since the Species are independent inventions (applicant has not argued that they are obvious to each other) it is not necessary to show a separate status in the art or separate classification (see MPEP 808.01(a)).

It is acknowledged that applicant believes claims 1-11 read on the elected Species II and B. The examiner agrees with applicant. Claim 1 is a generic claim.

Claims 12-14 are withdrawn from further consideration pursuant to 37 CFR 1.142(b), as being drawn to a nonelected inventions and/or species, there being no allowable generic or linking claim. Applicant timely traversed the restriction (election) requirement in Paper No. 6.

The requirement is still deemed proper and is therefore made FINAL.

Claim Rejections - 35 USC § 103

Application/Control Number: 09/989,169

Art Unit: 3732

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1 and 2 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rupp (Reference U.S. Patent 5,720,749 cited on IDS).

Rupp discloses a device comprising a rotatable drive shaft, e.g. 30, having proximal and distal ends. The proximal end configured and adapted to connect to a drive element to rotate the drive shaft (see col. 4, lines 34-37). The device also has a reamer head 20 coupled to the distal end of the drive shaft (see for example Figure 1). The reamer head has a tubular shank 22 with a female connector for engaging the distal end of the drive shaft which is the male connector in the form of resilient arms 36 and 37. The reamer head has a cutting head coupled to the shank (see Figures 1 and 2) and has a plurality of blades and flutes (see Figures 17-19). The drive shaft and reamer head each has cannulation and when both are engaged they form a center channel through the device. Rupp discloses the claimed invention except for the shank having a plurality of resilient arms for engaging with a distal end of the drive shaft. It would have been obvious to one having ordinary skill in the art at the time the invention was made to construct the device of Rupp with the tubular shank being the male connector with resilient arms and the distal end of the drive shaft being the female connector for receiving the male connector, since it has been held that a mere reversal of the essential working parts of a device involves only routine skill in the art. In re Einstein, 8 USPQ 167.

Art Unit: 3732

Allowable Subject

Claims 3-11 are objected to as being dependent upon a rejected base claim, but would be

Page 4

allowable if rewritten in independent form including all of the limitations of the base claim and

any intervening claims.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's

disclosure. See PTO-892 for art of interest.

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Eduardo C. Robert whose telephone number is 703-305-7333.

The examiner can normally be reached on Monday-Friday, 9:30am-6:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Kevin P. Shaver can be reached on 703-308-2582. The fax phone number for the

organization where this application or proceeding is assigned is 703-872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number 1s/703-308-1/148.

Eduardo (/. Robert

Primary Examiner

Art Unit 3732

E.C.R.